# Structure of free group factors

Srivatsav Kunnawalkam Elayavalli aka Sri

UCSD

October 2023



 $\mathbb{C}\langle t_1,\cdots,t_d
angle$ : \*-algebra of noncommutative \*-polynomials in d formal variables  $t_1,\ldots,t_d$ 

 $\mathbb{C}\langle t_1,\cdots,t_d \rangle$ : \*-algebra of noncommutative \*-polynomials in d formal variables  $t_1,\ldots,t_d$ .

If A is any \*-algebra, and  $x=(x_1,\cdots,x_d)\in A^d$  is a self-adjoint tuple, then there is a unique \*-homomorphism  $\mathbb{C}\langle t_1,\cdots,t_d\rangle \to A$  which sends  $t_j$  to  $x_j$ .

 $\mathbb{C}\langle t_1,\cdots,t_d \rangle$ : \*-algebra of noncommutative \*-polynomials in d formal variables  $t_1,\ldots,t_d$ .

If A is any \*-algebra, and  $x=(x_1,\cdots,x_d)\in A^d$  is a self-adjoint tuple, then there is a unique \*-homomorphism  $\mathbb{C}\langle t_1,\cdots,t_d\rangle \to A$  which sends  $t_j$  to  $x_j$ .

#### Definition

 $\mathbb{C}\langle t_1,\cdots,t_d
angle$ : \*-algebra of noncommutative \*-polynomials in d formal variables  $t_1,\ldots,t_d$ .

If A is any \*-algebra, and  $x=(x_1,\cdots,x_d)\in A^d$  is a self-adjoint tuple, then there is a unique \*-homomorphism  $\mathbb{C}\langle t_1,\cdots,t_d\rangle\to A$  which sends  $t_j$  to  $x_j$ .

#### Definition

Given a tracial von Neumann algebra  $(M,\tau)$  and  $x\in M^d_{sa}$ , we define the <u>law of x</u>, denoted  $\ell_x$ , to be the linear functional  $\ell_x\colon \mathbb{C}\langle t_1,\cdots,t_d\rangle\to\mathbb{C}$  given by

$$\ell_{\mathsf{x}}(f) = \tau(f(\mathsf{x})).$$

 $\Sigma_{d,R}$ : set of all linear maps  $\mathbb{C}\langle t_1,\ldots,t_d\rangle \to \mathbb{C}$  arising as the law of some tuple in a tracial von Neumann algebra.

 $\mathbb{C}\langle t_1,\cdots,t_d
angle$ : \*-algebra of noncommutative \*-polynomials in d formal variables  $t_1,\ldots,t_d$ .

If A is any \*-algebra, and  $x=(x_1,\cdots,x_d)\in A^d$  is a self-adjoint tuple, then there is a unique \*-homomorphism  $\mathbb{C}\langle t_1,\cdots,t_d\rangle \to A$  which sends  $t_j$  to  $x_j$ .

### Definition

Given a tracial von Neumann algebra  $(M,\tau)$  and  $x\in M^d_{sa}$ , we define the <u>law of x</u>, denoted  $\ell_x$ , to be the linear functional  $\ell_x\colon \mathbb{C}\langle t_1,\cdots,t_d\rangle\to\mathbb{C}$  given by

$$\ell_{\mathsf{x}}(f) = \tau(f(\mathsf{x})).$$

 $\Sigma_{d,R}$ : set of all linear maps  $\mathbb{C}\langle t_1,\ldots,t_d\rangle \to \mathbb{C}$  arising as the law of some tuple in a tracial von Neumann algebra.

Equip  $\Sigma_{d,R}$  with the weak\* topology (topology of pointwise convergence on  $\mathbb{C}\langle t_1,\ldots,t_d\rangle$ ).

## Voiculescu's microstate space

Let  $(M,\tau)$  be a diffuse tracial von Neumann algebra, and  $X,Y\subset M_{\operatorname{sa}}$  finite such that  $\|x\|\leq R$  for all  $x\in X\cup Y$ . For each weak\* neighborhood  $\mathcal O$  of  $\ell_{X\sqcup Y}$  in  $\Sigma_{d,R}$  and  $n\in\mathbb N$ , we define

$$\Gamma_{R}^{(n)}(X:Y;\mathcal{O}) = \{A \in \mathbb{M}_n(\mathbb{C})_{sa}^X: \exists B \in \mathbb{M}_n(\mathbb{C})_{sa}^Y \mid \ell_{A \sqcup B} \in \mathcal{O}, \|A_x\|, \|B_y\| \leq R\}.$$

### Voiculescu's microstate space

Let  $(M, \tau)$  be a diffuse tracial von Neumann algebra, and  $X, Y \subset M_{\text{sa}}$  finite such that  $\|x\| \leq R$  for all  $x \in X \cup Y$ . For each weak\* neighborhood  $\mathcal O$  of  $\ell_{X \sqcup Y}$  in  $\Sigma_{d,R}$  and  $n \in \mathbb N$ , we define

$$\Gamma_{R}^{(n)}(X:Y;\mathcal{O}) = \{A \in \mathbb{M}_{n}(\mathbb{C})_{sa}^{X}: \exists B \in \mathbb{M}_{n}(\mathbb{C})_{sa}^{Y} \mid \ell_{A \sqcup B} \in \mathcal{O}, \|A_{x}\|, \|B_{y}\| \leq R\}.$$

#### Orbital covering numbers

We say that  $\Xi$  orbitally  $(\varepsilon, \|\cdot\|_2)$ -covers  $\Omega$  if for every  $A \in \Omega$ , there is a  $B \in \Xi$  and an  $n \times n$  unitary matrix V so that  $\|A - VBV^*\|_2 < \varepsilon$ .

## Voiculescu's microstate space

Let  $(M, \tau)$  be a diffuse tracial von Neumann algebra, and  $X, Y \subset M_{\text{sa}}$  finite such that  $\|x\| \leq R$  for all  $x \in X \cup Y$ . For each weak\* neighborhood  $\mathcal O$  of  $\ell_{X \sqcup Y}$  in  $\Sigma_{d,R}$  and  $n \in \mathbb N$ , we define

$$\Gamma_R^{(n)}(X:Y;\mathcal{O}) = \{A \in \mathbb{M}_n(\mathbb{C})_{sa}^X: \exists B \in \mathbb{M}_n(\mathbb{C})_{sa}^Y \mid \ell_{A \sqcup B} \in \mathcal{O}, \|A_x\|, \|B_y\| \leq R\}.$$

#### Orbital covering numbers

We say that  $\Xi$  orbitally  $(\varepsilon, \|\cdot\|_2)$ -covers  $\Omega$  if for every  $A \in \Omega$ , there is a  $B \in \Xi$  and an  $n \times n$  unitary matrix V so that  $\|A - VBV^*\|_2 < \varepsilon$ . Define the orbital covering number  $K_{\varepsilon}^{\text{orb}}(\Omega, \|\cdot\|_2)$  as the minimal cardinality of a set that orbitally  $(\varepsilon, \|\cdot\|_2)$ -covers  $\Omega$ .

Let  $X,Y\subset M_{\operatorname{sa}}$  not necessarily finite, satisfying  $X''\subset Y''$  and  $\|x\|\leq R$  for all  $x\in X\cup Y$ . Let F,G be finite subsets of X,Y respectively. For a weak\*-neighborhood  $\mathcal O$  of  $\ell_{X\sqcup Y}$ , we define

Let  $X,Y\subset M_{\mathrm{sa}}$  not necessarily finite, satisfying  $X''\subset Y''$  and  $\|x\|\leq R$  for all  $x\in X\cup Y$ . Let F,G be finite subsets of X,Y respectively. For a weak\*-neighborhood  $\mathcal O$  of  $\ell_{X\sqcup Y}$ , we define

$$egin{aligned} h_{arepsilon}(F:G;\mathcal{O}) &:= \limsup_{n o \infty} rac{1}{n^2} \log \mathcal{K}^{\mathsf{orb}}_{arepsilon}(\Gamma_R^{(n)}(F:G;\mathcal{O})), \ h_{arepsilon}(F:G) &:= \inf_{\mathcal{O} \ni \ell_{F \sqcup G}} h_{arepsilon}(\mathcal{O}), \ h_{arepsilon}(X:Y) &:= \sup_{F \subset \mathsf{finite} X} \inf_{G \subset \mathsf{finite} Y} h_{arepsilon}(F:G) \ h(X:Y) &:= \sup_{arepsilon > 0} h_{arepsilon}(X:Y) \end{aligned}$$

Let  $X,Y\subset M_{\mathrm{sa}}$  not necessarily finite, satisfying  $X''\subset Y''$  and  $\|x\|\leq R$  for all  $x\in X\cup Y$ . Let F,G be finite subsets of X,Y respectively. For a weak\*-neighborhood  $\mathcal O$  of  $\ell_{X\sqcup Y}$ , we define

$$egin{aligned} h_{arepsilon}(F:G;\mathcal{O}) &:= \limsup_{n o \infty} rac{1}{n^2} \log \mathcal{K}^{\mathsf{orb}}_{arepsilon}(\Gamma_R^{(n)}(F:G;\mathcal{O})), \ h_{arepsilon}(F:G) &:= \inf_{\mathcal{O} \ni \ell_{F \sqcup G}} h_{arepsilon}(\mathcal{O}), \ h_{arepsilon}(X:Y) &:= \sup_{F \subset \mathsf{finite}\, X} \inf_{G \subset \mathsf{finite}\, Y} h_{arepsilon}(F:G) \ h(X:Y) &:= \sup_{arepsilon > 0} h_{arepsilon}(X:Y) \end{aligned}$$

## Theorem (Jung, Hayes):

$$h(X_1:Y_1)=h(X_2:Y_2)$$
 if  $X_1''=X_2''$  and  $Y_1''=Y_2''$ 

Let  $X,Y\subset M_{\mathrm{sa}}$  not necessarily finite, satisfying  $X''\subset Y''$  and  $\|x\|\leq R$  for all  $x\in X\cup Y$ . Let F,G be finite subsets of X,Y respectively. For a weak\*-neighborhood  $\mathcal O$  of  $\ell_{X\sqcup Y}$ , we define

$$egin{aligned} h_{arepsilon}(F:G;\mathcal{O}) &:= \limsup_{n o \infty} rac{1}{n^2} \log \mathcal{K}^{\mathsf{orb}}_{arepsilon}(\Gamma^{(n)}_R(F:G;\mathcal{O})), \ h_{arepsilon}(F:G) &:= \inf_{\mathcal{O} \ni \ell_{F \sqcup G}} h_{arepsilon}(\mathcal{O}), \ h_{arepsilon}(X:Y) &:= \sup_{F \subset \mathsf{finite} X} \inf_{G \subset \mathsf{finite} Y} h_{arepsilon}(F:G) \ h(X:Y) &:= \sup_{arepsilon > 0} h_{arepsilon}(X:Y) \end{aligned}$$

## Theorem (Jung, Hayes):

$$h(X_1:Y_1)=h(X_2:Y_2) \text{ if } X_1''=X_2'' \text{ and } Y_1''=Y_2''$$

## Definition (Jung, Hayes):

M is strongly 1-bounded if  $-\infty < h(M) < \infty$ .

h(M) = h(M : M) for every tracial von Neumann algebra  $(M, \tau)$ .

h(M) = h(M : M) for every tracial von Neumann algebra  $(M, \tau)$ .

#### Fact 2:

Suppose  $N \leq M$ . Then  $h(N:M) \geq 0$  if M embeds into an ultrapower of  $\mathcal{R}$ , and  $h(N:M) = -\infty$  if M does not embed into an ultrapower of  $\mathcal{R}$ .

h(M) = h(M : M) for every tracial von Neumann algebra  $(M, \tau)$ .

### Fact 2:

Suppose  $N \leq M$ . Then  $h(N:M) \geq 0$  if M embeds into an ultrapower of  $\mathcal{R}$ , and  $h(N:M) = -\infty$  if M does not embed into an ultrapower of  $\mathcal{R}$ .

#### Fact 3:

 $h(N_1:M_1) \leq h(N_2:M_2)$  if  $N_1 \subset N_2 \subset M_2 \subset M_1$  and  $N_1$  is diffuse.

h(M) = h(M : M) for every tracial von Neumann algebra  $(M, \tau)$ .

#### Fact 2:

Suppose  $N \leq M$ . Then  $h(N:M) \geq 0$  if M embeds into an ultrapower of  $\mathcal{R}$ , and  $h(N:M) = -\infty$  if M does not embed into an ultrapower of  $\mathcal{R}$ .

### Fact 3:

 $h(N_1:M_1) \leq h(N_2:M_2)$  if  $N_1 \subset N_2 \subset M_2 \subset M_1$  and  $N_1$  is diffuse.

#### Fact 4:

 $h(N:M) \leq 0$  if  $N \leq M$  and N is hyperfinite.

h(M) = h(M : M) for every tracial von Neumann algebra  $(M, \tau)$ .

#### Fact 2:

Suppose  $N \leq M$ . Then  $h(N:M) \geq 0$  if M embeds into an ultrapower of  $\mathcal{R}$ , and  $h(N:M) = -\infty$  if M does not embed into an ultrapower of  $\mathcal{R}$ .

#### Fact 3:

 $h(N_1:M_1) \leq h(N_2:M_2)$  if  $N_1 \subset N_2 \subset M_2 \subset M_1$  and  $N_1$  is diffuse.

#### Fact 4:

 $h(N:M) \leq 0$  if  $N \leq M$  and N is hyperfinite.

#### Fact 5:

 $h(M)=\infty$  if M is diffuse, and  $M=\mathrm{W}^*(x_1,\cdots,x_n)$  where  $x_j\in M_{sa}$  for all  $1\leq j\leq n$  and  $\delta_0(x_1,\cdots,x_n)>1$ . For example this applies if  $M=L(\mathbb{F}_n)$ , for n>1.

### Lemma 1:

 $h(N:M)=h(N:M^{\mathcal{U}})$  if  $N\subset M$  is diffuse, and  $\mathcal{U}$  is an ultrafilter on a set I. In particular,  $h(M^{\mathcal{U}})\geq h(M)$ .

### Lemma 1:

 $h(N:M)=h(N:M^{\mathcal{U}})$  if  $N\subset M$  is diffuse, and  $\mathcal{U}$  is an ultrafilter on a set I. In particular,  $h(M^{\mathcal{U}})\geq h(M)$ .

## Lemma 2:

 $h(N_1 \vee N_2 : M) \leq h(N_1 : M) + h(N_2 : M)$  if  $N_1, N_2 \subset M$  and  $N_1 \cap N_2$  is diffuse. In particular,  $h(N_1 \vee N_2) \leq h(N_1) + h(N_2)$ .

#### Lemma 1:

 $h(N:M)=h(N:M^{\mathcal{U}})$  if  $N\subset M$  is diffuse, and  $\mathcal{U}$  is an ultrafilter on a set I. In particular,  $h(M^{\mathcal{U}})\geq h(M)$ .

### Lemma 2:

 $h(N_1 \vee N_2 : M) \leq h(N_1 : M) + h(N_2 : M)$  if  $N_1, N_2 \subset M$  and  $N_1 \cap N_2$  is diffuse. In particular,  $h(N_1 \vee N_2) \leq h(N_1) + h(N_2)$ .

### Lemma 3:

Suppose that  $(N_{\alpha})_{\alpha}$  is an increasing chain of diffuse von Neumann subalgebras of a von Neumann algebra M. Then

$$h\left(\bigvee_{\alpha}N_{\alpha}:M\right)=\sup_{\alpha}h(N_{\alpha}:M).$$

## Lemma 4:

Let I be a countable set, and  $M=\bigoplus_{i\in I}M_i$  with  $M_i$  diffuse for all i. Suppose that  $\tau$  is a faithful trace on M, and that  $\lambda_i$  is the trace of the identity on  $M_i$ . Endow  $M_i$  with the trace  $\tau_i=\frac{\tau|_{M_i}}{\lambda_i}$ . Then

$$h(M,\tau) \leq \sum_{i} \lambda_{i}^{2} h(M_{i},\tau_{i}).$$

## Lemma 4:

Let I be a countable set, and  $M=\bigoplus_{i\in I}M_i$  with  $M_i$  diffuse for all i. Suppose that  $\tau$  is a faithful trace on M, and that  $\lambda_i$  is the trace of the identity on  $M_i$ . Endow  $M_i$  with the trace  $\tau_i=\frac{\tau|_{M_i}}{\lambda_i}$ . Then

$$h(M,\tau) \leq \sum_{i} \lambda_i^2 h(M_i,\tau_i).$$

#### Lemma 5:

If  $z \in \mathcal{P}(Z(M))$ ,  $N \leq M$  and  $h(N : M) \leq 0$ , then  $h(Nz : Mz) \leq 0$ .

## Lemma 4:

Let I be a countable set, and  $M = \bigoplus_{i \in I} M_i$  with  $M_i$  diffuse for all i. Suppose that  $\tau$  is a faithful trace on M, and that  $\lambda_i$  is the trace of the identity on  $M_i$ . Endow  $M_i$  with the trace  $\tau_i = \frac{\tau_i^{|M_i|}}{\lambda_i}$ . Then

$$h(M,\tau) \leq \sum_{i} \lambda_i^2 h(M_i,\tau_i).$$

#### Lemma 5:

If  $z \in \mathcal{P}(Z(M))$ ,  $N \leq M$  and  $h(N : M) \leq 0$ , then  $h(Nz : Mz) \leq 0$ .

#### Lemma 6:

 $h(pNp:pMp)=\frac{1}{\tau(p)^2}h(N:M)$ , if  $N\leq M$  is diffuse, p is a nonzero projection in N, and M is a factor.

lacktriangledown N has a diffuse quasi-regular hyperfinite subalgebra (Voiculescu ightarrow Jung ightarrow Hayes).

- $\textbf{0} \ \ \textit{N} \ \ \text{has a diffuse quasi-regular hyperfinite subalgebra} \ \ \text{(Voiculescu} \ \rightarrow \ \text{Jung} \ \rightarrow \ \text{Hayes)}.$
- $oldsymbol{0}$  N is non-prime (Ge), N has property Gamma (Jung).

- lacktriangledown N has a diffuse quasi-regular hyperfinite subalgebra (Voiculescu ightarrow Jung ightarrow Hayes).
- N is non-prime (Ge), N has property Gamma (Jung).
- $oldsymbol{0}$  N is generated by two hyperfinite subalgebras with diffuse intersection (Jung).

- lacktriangledown N has a diffuse quasi-regular hyperfinite subalgebra (Voiculescu ightarrow Jung ightarrow Hayes).
- N is non-prime (Ge), N has property Gamma (Jung).
- **3** *N* is generated by two hyperfinite subalgebras with diffuse intersection (Jung).

- $\textbf{0} \ \ \textit{N} \ \ \text{has a diffuse quasi-regular hyperfinite subalgebra} \ \ \text{(Voiculescu} \ \rightarrow \ \text{Jung} \ \rightarrow \ \text{Hayes)}.$
- N is non-prime (Ge), N has property Gamma (Jung).
- **3** *N* is generated by two hyperfinite subalgebras with diffuse intersection (Jung).

For the following,  $h(N) < \infty$ .

**1**  $N = L(\Gamma)$  where  $\Gamma$  is a finitely presented sofic group with vanishing first  $L^2$ -Betti number (Shlyakhtenko, Jung, H-J-KE'21B).

- $\textbf{0} \ \ \textit{N} \ \ \text{has a diffuse quasi-regular hyperfinite subalgebra} \ \ \text{(Voiculescu} \ \rightarrow \ \text{Jung} \ \rightarrow \ \text{Hayes)}.$
- N is non-prime (Ge), N has property Gamma (Jung).
- $oldsymbol{0}$  *N* is generated by two hyperfinite subalgebras with diffuse intersection (Jung).

- $N = L(\Gamma)$  where  $\Gamma$  is a finitely presented sofic group with vanishing first  $L^2$ -Betti number (Shlyakhtenko, Jung, H-J-KE'21B).
- ② N is a free orthogonal quantum group von Neumann algebra (Brannan-Vergnioux).

- $\textbf{0} \ \ \textit{N} \ \ \text{has a diffuse quasi-regular hyperfinite subalgebra} \ \ \text{(Voiculescu} \ \rightarrow \ \text{Jung} \ \rightarrow \ \text{Hayes)}.$
- N is non-prime (Ge), N has property Gamma (Jung).
- $oldsymbol{0}$  N is generated by two hyperfinite subalgebras with diffuse intersection (Jung).

- $N = L(\Gamma)$  where  $\Gamma$  is a finitely presented sofic group with vanishing first  $L^2$ -Betti number (Shlyakhtenko, Jung, H-J-KE'21B).
- ② N is a free orthogonal quantum group von Neumann algebra (Brannan-Vergnioux).
- N has Property (T) (H-J-KE'21A).

- $\textbf{0} \ \ \textit{N} \ \ \text{has a diffuse quasi-regular hyperfinite subalgebra} \ \ \text{(Voiculescu} \ \rightarrow \ \text{Jung} \ \rightarrow \ \text{Hayes)}.$
- N is non-prime (Ge), N has property Gamma (Jung).
- $oldsymbol{0}$  N is generated by two hyperfinite subalgebras with diffuse intersection (Jung).

- $N = L(\Gamma)$  where  $\Gamma$  is a finitely presented sofic group with vanishing first  $L^2$ -Betti number (Shlyakhtenko, Jung, H-J-KE'21B).
- ② N is a free orthogonal quantum group von Neumann algebra (Brannan-Vergnioux).
- N has Property (T) (H-J-KE'21A).
- N is a graph product of finite dimensional von Neumann algebras, with vanishing cohomology (AIM Hexagon).
- **3** First example of ultrapower of non Gamma factor M with  $h(M) \leq 0$  (CIKE'23).

•  $N_1 * N_2$  where  $(N_1, \tau_1)$  and  $(N_2, \tau_2)$  are Connes-embeddable diffuse tracial von Neumann algebras (Jung).

- $N_1 * N_2$  where  $(N_1, \tau_1)$  and  $(N_2, \tau_2)$  are Connes-embeddable diffuse tracial von Neumann algebras (Jung).
- 2 The free perturbation algebras of Voiculescu (Brown).

- $N_1 * N_2$  where  $(N_1, \tau_1)$  and  $(N_2, \tau_2)$  are Connes-embeddable diffuse tracial von Neumann algebras (Jung).
- 2 The free perturbation algebras of Voiculescu (Brown).
- **3** Certain examples of amalgamated free products  $N_1 *_B N_2$  where B is amenable (Brown-Dykema-Jung).

# Examples of $h(N) = \infty$

- $N_1 * N_2$  where  $(N_1, \tau_1)$  and  $(N_2, \tau_2)$  are Connes-embeddable diffuse tracial von Neumann algebras (Jung).
- 2 The free perturbation algebras of Voiculescu (Brown).
- **3** Certain examples of amalgamated free products  $N_1 *_B N_2$  where B is amenable (Brown-Dykema-Jung).
- **①** Von Neumann algebras of Connes-embeddable nonamenable groups  $\Gamma$  admitting non inner cocycles  $c:\Gamma\to\mathbb{C}\Gamma$  (Shlyakhtenko).

# Examples of $h(N) = \infty$

- $N_1 * N_2$  where  $(N_1, \tau_1)$  and  $(N_2, \tau_2)$  are Connes-embeddable diffuse tracial von Neumann algebras (Jung).
- 2 The free perturbation algebras of Voiculescu (Brown).
- **3** Certain examples of amalgamated free products  $N_1 *_B N_2$  where B is amenable (Brown-Dykema-Jung).
- Von Neumann algebras of Connes-embeddable nonamenable groups  $\Gamma$  admitting non inner cocycles  $c: \Gamma \to \mathbb{C}\Gamma$  (Shlyakhtenko).
- Matrix ultraproducts (Jekel).

### Examples of $h(N) = \infty$

- $N_1 * N_2$  where  $(N_1, \tau_1)$  and  $(N_2, \tau_2)$  are Connes-embeddable diffuse tracial von Neumann algebras (Jung).
- 2 The free perturbation algebras of Voiculescu (Brown).
- **3** Certain examples of amalgamated free products  $N_1 *_B N_2$  where B is amenable (Brown-Dykema-Jung).
- Von Neumann algebras of Connes-embeddable nonamenable groups  $\Gamma$  admitting non inner cocycles  $c: \Gamma \to \mathbb{C}\Gamma$  (Shlyakhtenko).
- Matrix ultraproducts (Jekel).
- **6**  $L(\Gamma)$  where  $\Gamma$  is a hyperbolic tower in the sense of Sela (KE'22).

#### Definition:

Let  $(M, \tau)$  be a tracial von Neumann algebra. We say that  $P \leq M$  is Pinsker if  $h(P:M) \leq 0$  and for any  $P \leq Q \leq M$  with  $P \neq Q$  we have h(Q:M) > 0.

If  $Q \leq M$  is diffuse and  $h(Q:M) \leq 0$ , then there is a unique Pinsker algebra  $P \leq M$  with  $Q \subseteq P$ . E.g.

$$P = \bigvee_{N \le M, N \supseteq Q, h(N:M) \le 0} N.$$

We say P the Pinsker algebra of  $Q \subseteq M$ .

### Theorem: (Hayes, BC1, BC2)

Fix  $r \in \mathbb{N} \cup \{\infty\}$ . Then:

- $extbf{Q} \quad Q \leq L(\mathbb{F}_r)$  is amenable if and only if  $h(Q:L(\mathbb{F}_r)) = 0$ ,
- $P \leq L(\mathbb{F}_r)$  is Pinsker if and only if it is maximal amenable.

For an inclusion  $N \leq M$  of tracial von Neumann algebras, we let

$$\mathcal{H}_{\mathsf{anti-c}}(\mathsf{N} \leq \mathsf{M}) = \bigcap_{\mathsf{T} \in \mathsf{Hom}_{\mathsf{N}-\mathsf{N}}(\mathsf{L}^2(\mathsf{M}),\mathsf{L}^2(\mathsf{N}) \otimes \mathsf{L}^2(\mathsf{N}))} \mathsf{ker}(\mathsf{T}).$$

Where  $\operatorname{Hom}_{N-N}(L^2(M), L^2(N) \otimes L^2(N))$  is the space of bounded, linear, N-N bimodular maps  $T: L^2(M) \to L^2(N) \otimes L^2(N)$ .

For an inclusion  $N \leq M$  of tracial von Neumann algebras, we let

$$\mathcal{H}_{\mathsf{anti-c}}(\mathsf{N} \leq \mathsf{M}) = \bigcap_{\mathsf{T} \in \mathsf{Hom}_{\mathsf{N}-\mathsf{N}}(\mathsf{L}^2(\mathsf{M}), \mathsf{L}^2(\mathsf{N}) \otimes \mathsf{L}^2(\mathsf{N}))} \mathsf{ker}(\mathsf{T}).$$

Where  $\operatorname{Hom}_{N-N}(L^2(M), L^2(N) \otimes L^2(N)$  is the space of bounded, linear, N-N bimodular maps  $T: L^2(M) \to L^2(N) \otimes L^2(N)$ .

This contains by Hayes the following generalizations of the normalizer of  $N \leq M$ 

$$q^{1}\mathcal{N}_{M}(N) = \left\{ x \in M : \text{ there exists } x_{1}, \cdots, x_{n} \in M \text{ so that } xN \subseteq \sum_{j=1}^{n} Nx_{j} \right\}.$$

$$\mathcal{N}_{M}^{wq}(N) = \{ u \in \mathcal{U}(M) : uNu^{*} \cap N \text{ is diffuse} \}.$$

#### Definition

Let  $(M, \tau)$  be a tracial von Neumann algebra. For  $Q, P \leq M$  diffuse, we define the intertwining space from Q to P inside M, denoted  $I_M(Q, P)$ , to be the set of  $\xi \in L^2(M)$  so that

$$\overline{\mathsf{Span}\{a\xi b:a\in Q,b\in P\}}^{\|\cdot\|_2}$$

has finite dimension as a right P-module. We define the weak intertwining space from Q to P inside M by

$$wI_M(Q, P) = \bigcup_{Q_0 < Q \text{ diffuse}} I_M(Q_0, P).$$

#### Definition

Let  $(M, \tau)$  be a tracial von Neumann algebra. For  $Q, P \leq M$  diffuse, we define the intertwining space from Q to P inside M, denoted  $I_M(Q, P)$ , to be the set of  $\xi \in L^2(M)$  so that

$$\overline{\mathsf{Span}\{a\xi b:a\in Q,b\in P\}}^{\|\cdot\|_2}$$

has finite dimension as a right P-module. We define the weak intertwining space from Q to P inside M by

$$wI_M(Q, P) = \bigcup_{Q_0 < Q \text{ diffuse}} I_M(Q_0, P).$$

One can show that

$$wI_M(Q,Q)\subseteq \mathcal{H}_{\mathsf{anti-c}}(Q\leq M).$$

# Theorem (Hayes 16)

$$h(W^*(\mathcal{H}_{anti-c}(N \leq M)) : M) = h(N : M)$$
 if  $N \leq M$  is diffuse.

# Theorem 1 (HJKE'23)

Fix t > 1. Then  $Q \leq L(\mathbb{F}_t)$  is amenable if and only if  $h(Q : L(\mathbb{F}_t)) = 0$ .

### Theorem 2 (HJKE'23)

Let  $M = L(\mathbb{F}_t)$  for some t > 1. For any maximal amenable  $P \leq L(\mathbb{F}_t)$  we have that

$$_{P}(L^{2}(M) \ominus L^{2}(P))_{P} \leq [L^{2}(P) \otimes L^{2}(P)]^{\oplus \infty}.$$

Let  $A \leq M$  is a maximal abelian \*-subalgebra. Write  $A = L^{\infty}(X, \mu)$ . The representation

$$\pi\colon C(X)\otimes C(X)\to B(L^2(M)\ominus L^2(A))$$

given by

$$\pi(f\otimes g)\xi=f\xi g,$$

gives rise to a spectral measure E on  $X \times X$  whose marginals are Radon-Nikodym equivalent to  $\mu$ . We say that  $\nu \in \operatorname{Prob}(X \times X)$  is a <u>left/right measure</u> of  $A \leq M$  if it is Radon-Nikodym equivalent to E.

Let  $A \leq M$  is a maximal abelian \*-subalgebra. Write  $A = L^{\infty}(X, \mu)$ . The representation

$$\pi\colon C(X)\otimes C(X)\to B(L^2(M)\ominus L^2(A))$$

given by

$$\pi(f\otimes g)\xi=f\xi g,$$

gives rise to a spectral measure E on  $X \times X$  whose marginals are Radon-Nikodym equivalent to  $\mu$ . We say that  $\nu \in \operatorname{Prob}(X \times X)$  is a <u>left/right measure</u> of  $A \leq M$  if it is Radon-Nikodym equivalent to E.

Let  $A \leq M$  is a maximal abelian \*-subalgebra. Write  $A = L^{\infty}(X, \mu)$ . The representation

$$\pi\colon C(X)\otimes C(X)\to B(L^2(M)\ominus L^2(A))$$

given by

$$\pi(f\otimes g)\xi=f\xi g,$$

gives rise to a spectral measure E on  $X \times X$  whose marginals are Radon-Nikodym equivalent to  $\mu$ . We say that  $\nu \in \operatorname{Prob}(X \times X)$  is a <u>left/right measure</u> of  $A \leq M$  if it is Radon-Nikodym equivalent to E.

Note that if  $\nu$  is a left/right measure, and if  $\phi\colon C(X)\otimes C(X)\to L^\infty(X\times X,\nu)$  is the map sending an element of  $C(X)\otimes C(X)\cong C(X\times X)$  to its  $L^\infty(\nu)$ -equivalence class, then there is a unique normal \*-isomorphism  $\rho\colon L^\infty(X\times X,\nu)\to \overline{\pi(C(X)\otimes C(X))}^{SOT}$  so that  $\pi=\rho\circ\phi$ .

# Theorem 3 (HJKE'23)

Let  $M=L(\mathbb{F}_t)$  for t>1. Suppose that  $A\leq M$  is abelian and a maximal amenable subalgebra of M. Write  $A=L^\infty(X,\mu)$  for some compact metrizable space X and some Borel probability measure on X. Then the left/right measure of  $A\leq M$  is absolutely continuous with respect to  $\mu\otimes\mu$ .

## Theorem 3 (HJKE'23)

Let  $M=L(\mathbb{F}_t)$  for t>1. Suppose that  $A\leq M$  is abelian and a maximal amenable subalgebra of M. Write  $A=L^\infty(X,\mu)$  for some compact metrizable space X and some Borel probability measure on X. Then the left/right measure of  $A\leq M$  is absolutely continuous with respect to  $\mu\otimes\mu$ .

Given a free ultrafilter  $\omega \in \beta \mathbb{N} \setminus \mathbb{N}$  we say that M is spectrally  $\omega$ -solid if for any diffuse, amenable  $Q \leq M^{\omega}$  we have that  $W^*(\mathcal{H}_{\operatorname{anti-c}}(Q \leq M^{\omega})) \cap M$  is amenable. We say that M is spectrally ultrasolid if it is spectrally  $\omega$ -solid for every free ultrafilter  $\omega$ .

### Theorem 3 (HJKE'23)

Let  $M=L(\mathbb{F}_t)$  for t>1. Suppose that  $A\leq M$  is abelian and a maximal amenable subalgebra of M. Write  $A=L^\infty(X,\mu)$  for some compact metrizable space X and some Borel probability measure on X. Then the left/right measure of  $A\leq M$  is absolutely continuous with respect to  $\mu\otimes\mu$ .

Given a free ultrafilter  $\omega \in \beta \mathbb{N} \setminus \mathbb{N}$  we say that M is spectrally  $\omega$ -solid if for any diffuse, amenable  $Q \leq M^{\omega}$  we have that  $W^*(\mathcal{H}_{\text{anti-c}}(Q \leq M^{\omega})) \cap M$  is amenable. We say that M is spectrally ultrasolid if it is spectrally  $\omega$ -solid for every free ultrafilter  $\omega$ .

#### Theorem 4 (HJKE'23)

We have that  $L(\mathbb{F}_t)$  is spectrally ultrasolid. If  $Q \leq L(\mathbb{F}_t)$ ,  $\omega \in \beta \mathbb{N} \setminus \mathbb{N}$  is a free ultrafilter and  $Q' \cap L(\mathbb{F}_t)^{\omega}$  is diffuse, then Q is amenable.

If M is a finite von Neumann algebra, and  $P,Q \leq M$  we say that a corner of Q intertwines into P inside of M and write  $Q \prec P$  if there are nonzero projections  $f \in Q$ ,  $e \in P$ , a unital \*-homomorphism  $\Theta \colon fQf \to ePe$  and a nonzero partial isometry  $v \in M$  so that:

- $xv = v\Theta(x)$  for all  $x \in fQf$ ,
- $vv^* \in (fQf)' \cap fMf$ ,
- $v^*v \in \Theta(fqf)' \cap eMe$ .

If M is a finite von Neumann algebra, and  $P,Q \leq M$  we say that a corner of Q intertwines into P inside of M and write  $Q \prec P$  if there are nonzero projections  $f \in Q$ ,  $e \in P$ , a unital \*-homomorphism  $\Theta \colon fQf \to ePe$  and a nonzero partial isometry  $v \in M$  so that:

- $xv = v\Theta(x)$  for all  $x \in fQf$ ,
- $vv^* \in (fQf)' \cap fMf$ ,
- $v^*v \in \Theta(fqf)' \cap eMe$ .

## Theorem 5 (HJKE'23)

Fix t > 1, and let Q, P be maximal amenable subalgebras of  $L(\mathbb{F}_t)$ . Then exactly one of the following occurs:

- either there are nonzero projections  $e \in Q, f \in P$  and a unitary  $u \in L(\mathbb{F}F_t)$  so that  $u^*(ePe)u = fQf$ , or
- ② for any diffuse  $Q_0 \leq Q$  we have that  $Q_0 \not\prec P$ .

In particular, if Q, P are hyperfinite subfactors of  $L\mathbb{F}_t$ ) that are maximal amenable subalgebras in  $L(\mathbb{F}_t)$ , then either they are unitarily conjugate or no corner of any diffuse subalgebra of one can be intertwined into the other inside of  $L(\mathbb{F}_t)$ .

#### Theorem 6 (HJKE'23)

Let t>1 and let  $N\leq L(\mathbb{F}_t)$  be a nonamenable subfactor. Then there is a free ultrafilter  $\omega$  and an embedding  $\iota\colon N\to\prod_{k\to\omega}M_k(\mathbb{C})$  with  $\iota(N)'\cap\prod_{k\to\omega}M_k(\mathbb{C})=\mathbb{C}1$ .

#### Theorem 6 (HJKE'23)

Let t>1 and let  $N\leq L(\mathbb{F}_t)$  be a nonamenable subfactor. Then there is a free ultrafilter  $\omega$  and an embedding  $\iota\colon N\to\prod_{k\to\omega}M_k(\mathbb{C})$  with  $\iota(N)'\cap\prod_{k\to\omega}M_k(\mathbb{C})=\mathbb{C}1$ .

Stay tuned until next episode of free entropy theory and Peterson Thom conjecture!